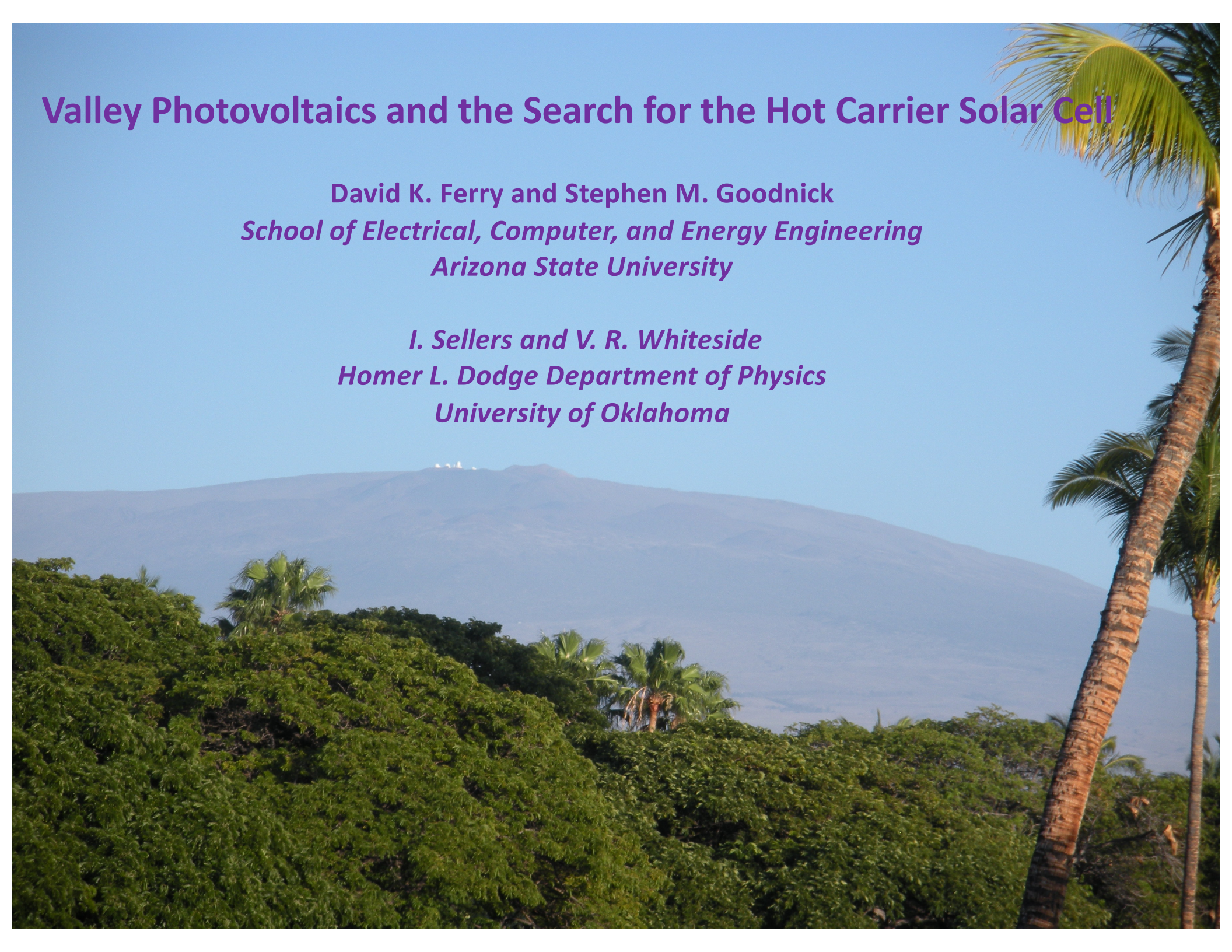


# Valley Photovoltaics and the Search for the Hot Carrier Solar Cell

**David K. Ferry and Stephen M. Goodnick**  
*School of Electrical, Computer, and Energy Engineering*  
*Arizona State University*

*I. Sellers and V. R. Whiteside*  
*Homer L. Dodge Department of Physics*  
*University of Oklahoma*



# Presented by David Ferry



**The Hot Carrier Solar Cell was conceived in 1982 by Ross and Nozik**

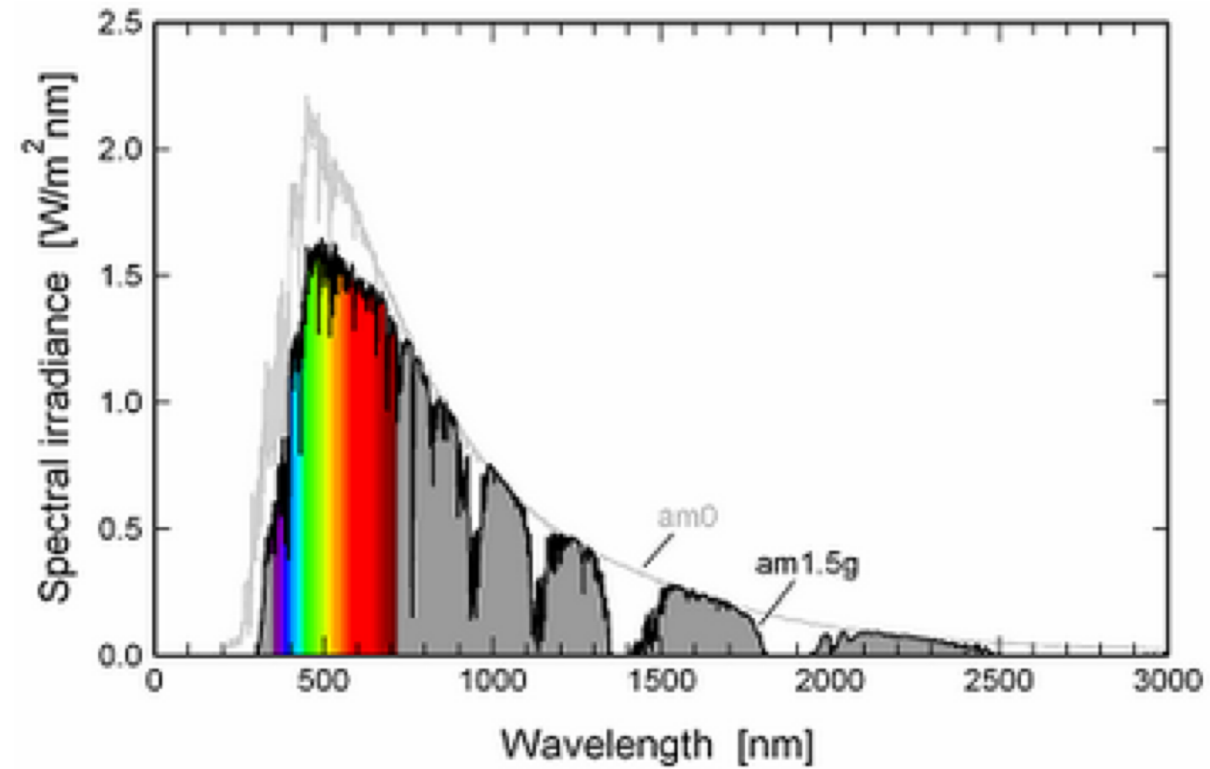
**To establish such a cell, it was proposed that one needed to:**

- ❖ Prevent the photo-generated carriers from thermalizing through the emission of phonons
- ❖ Extract only the hot carriers through an energy-selective contact

**Since this time engineers have been hyper-ventilating over methods to cut down phonon emission by the photo-generated carriers and various superlattices for the energy-selective removal of the carriers**

**They have avoided the use of the known properties of hot carriers in semiconductors.**

# Solar Illumination

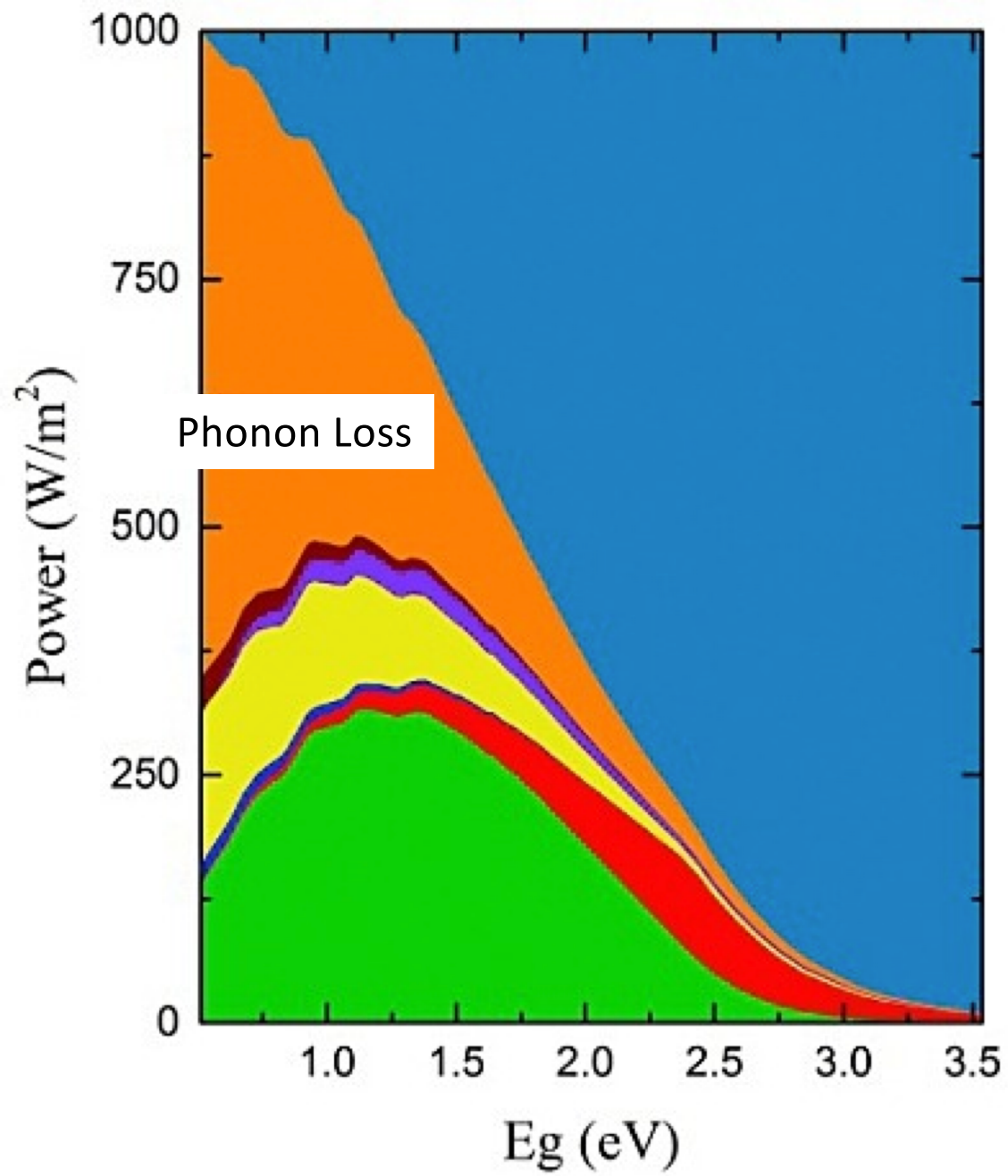


# Hot Carrier Solar Cells: Creating a True Hot Carrier Cell

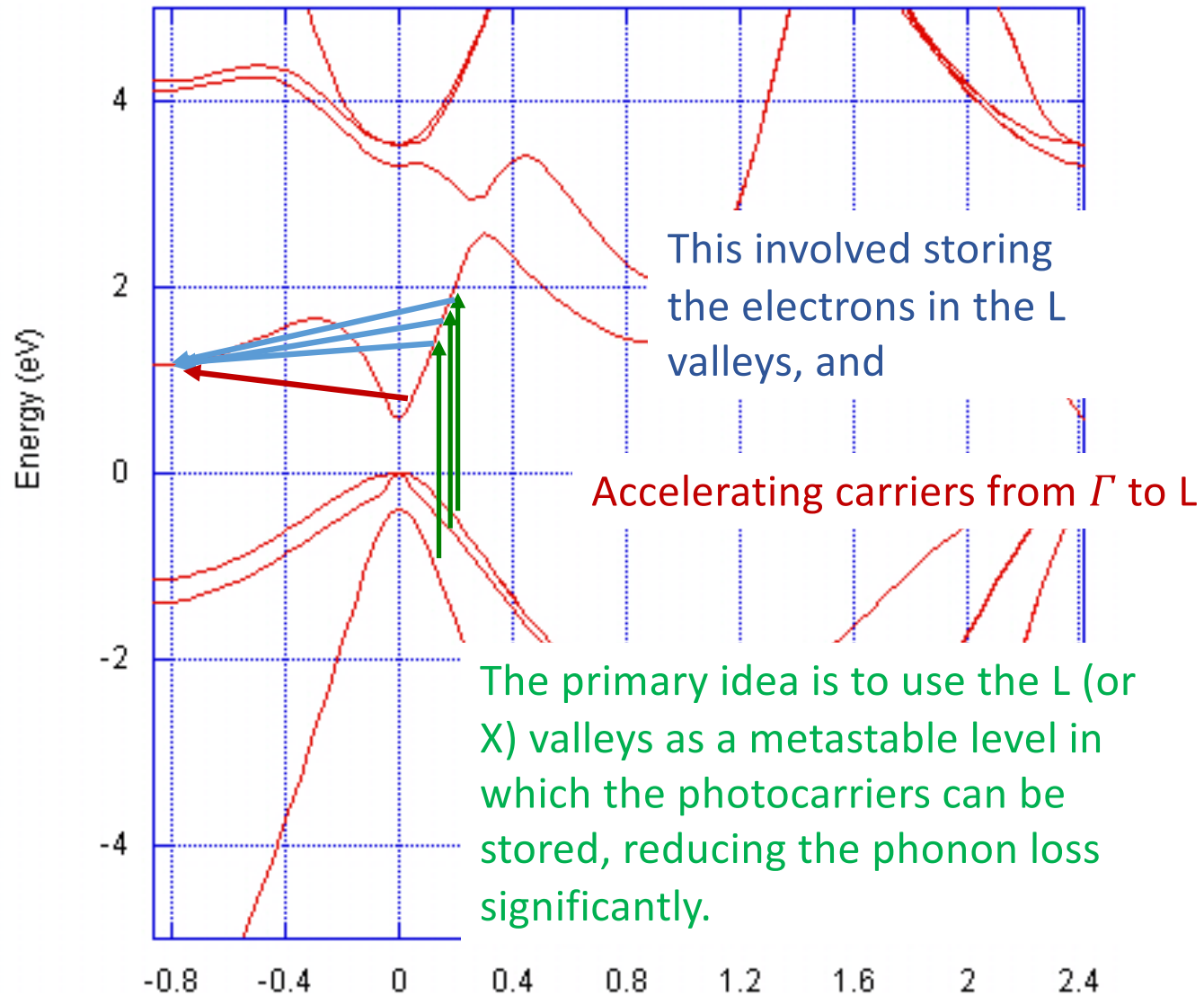
- ❖ Park the carriers in the satellite valleys—the absorber layer must be thin so that carriers exit before decaying to the  $\Gamma$  valley
- ❖ Absorber layer should have high electric field to re-excite  $\Gamma$  carriers to satellite valleys— $p-n$  junction should have “Mott barrier” configuration.
- ❖ ESC is not needed. Instead, wide band-gap layer is used as collector, allowing only carriers from the satellite valley out of the structure

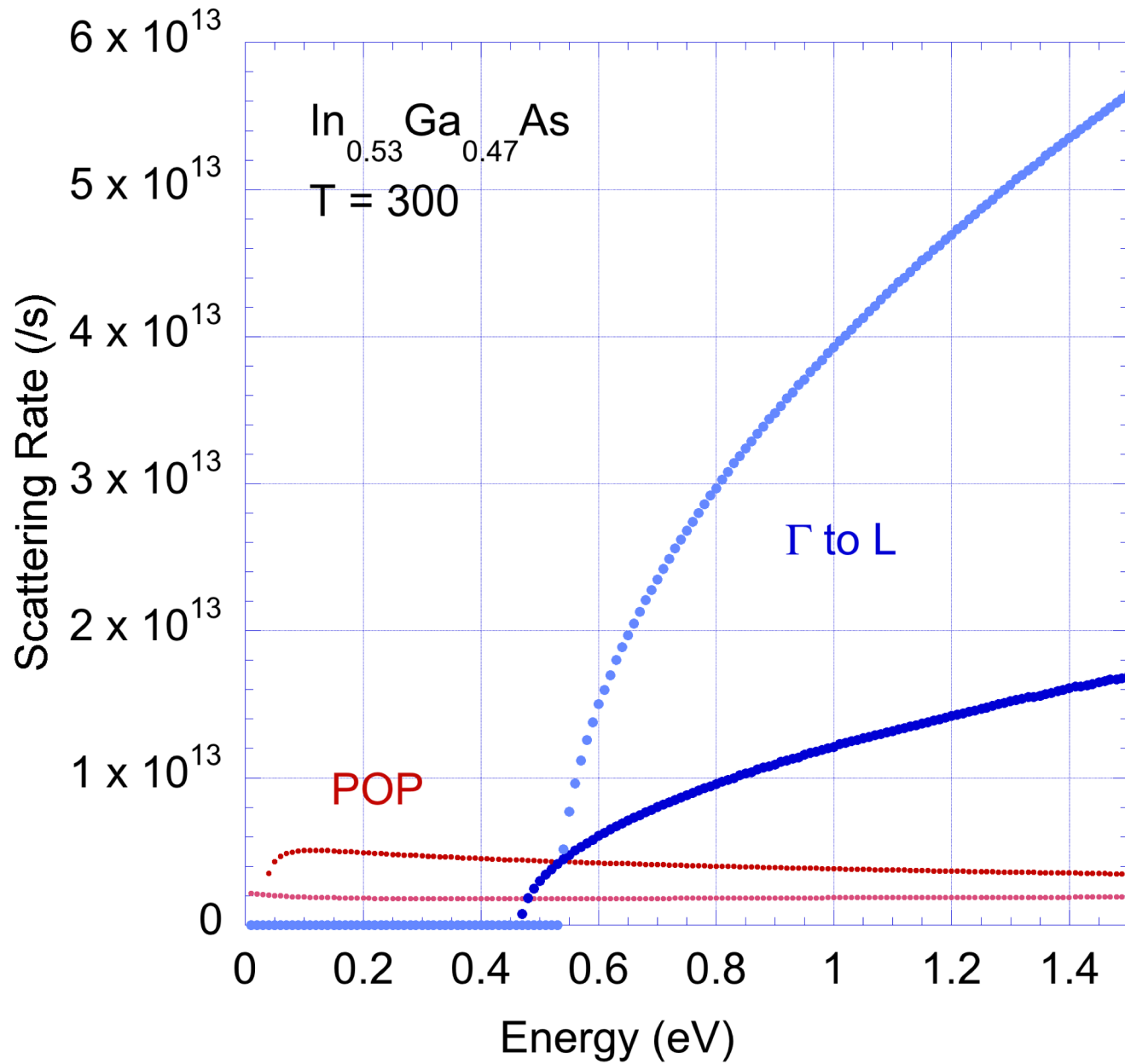
What is the governing physics that makes this better?

- We are going to effectively turn off radiative recombination
- We are going to dramatically reduce LO phonon emission
- This eliminates much of the known losses in the cell



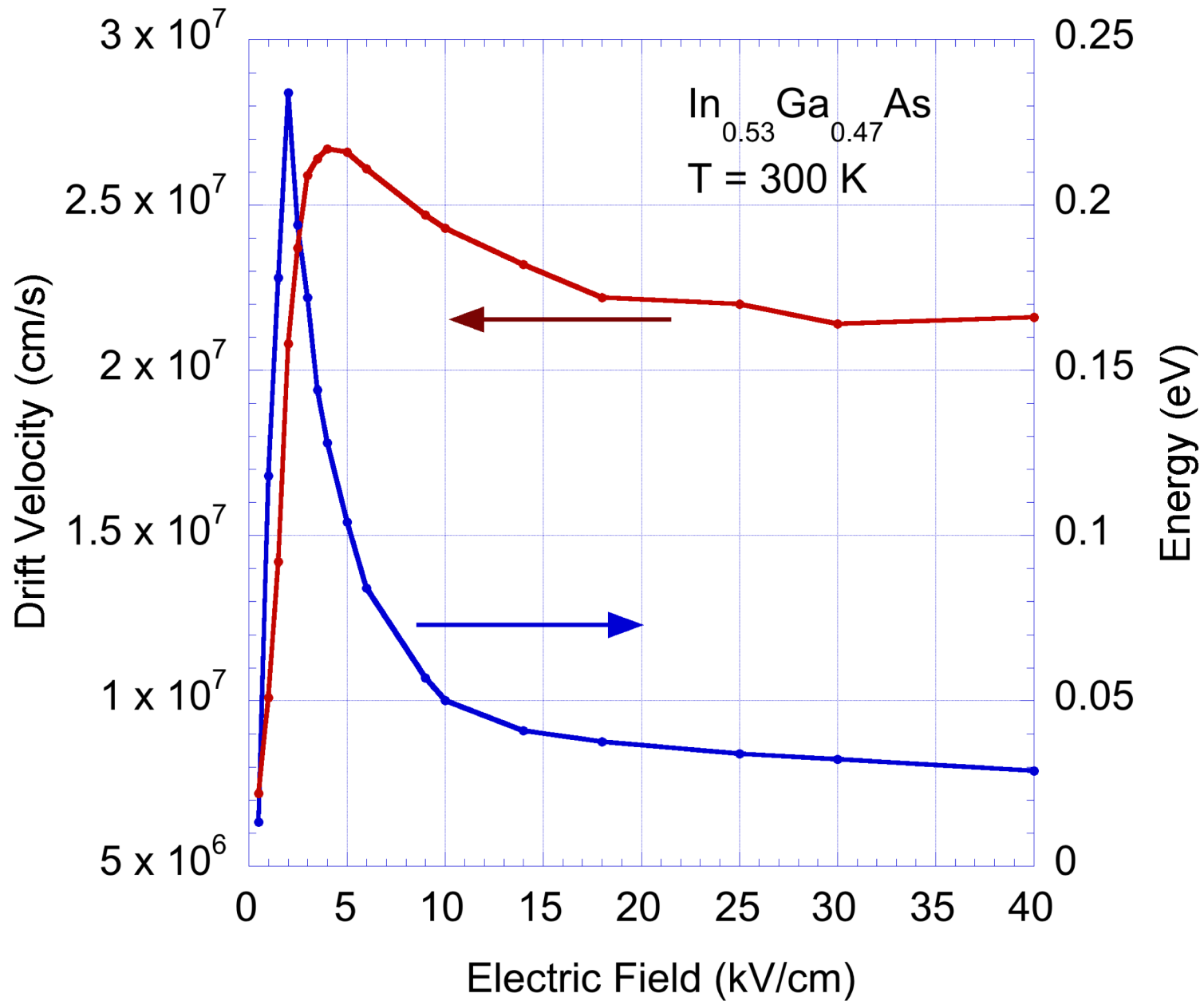
## An approach to a *true* HCSC:



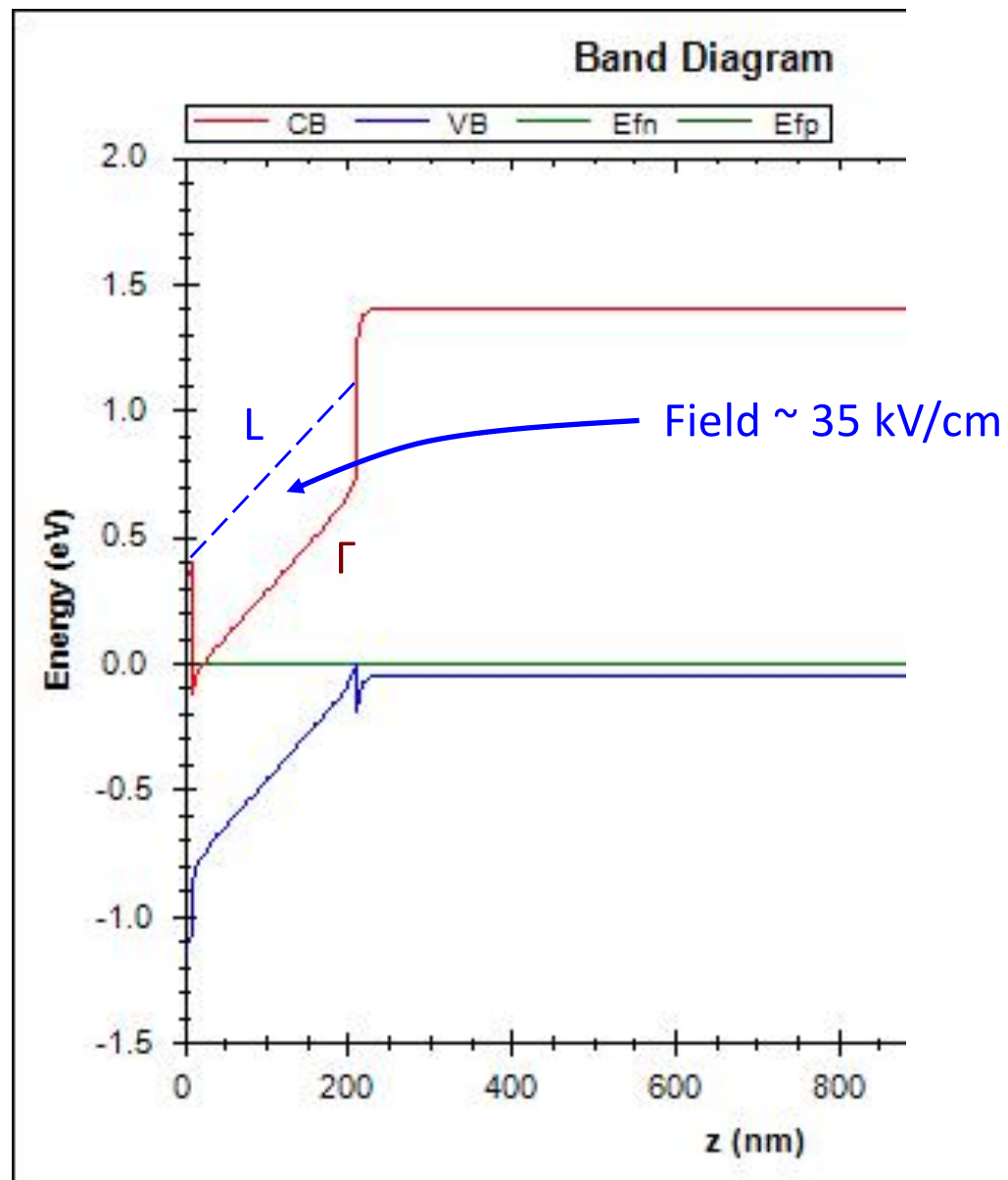


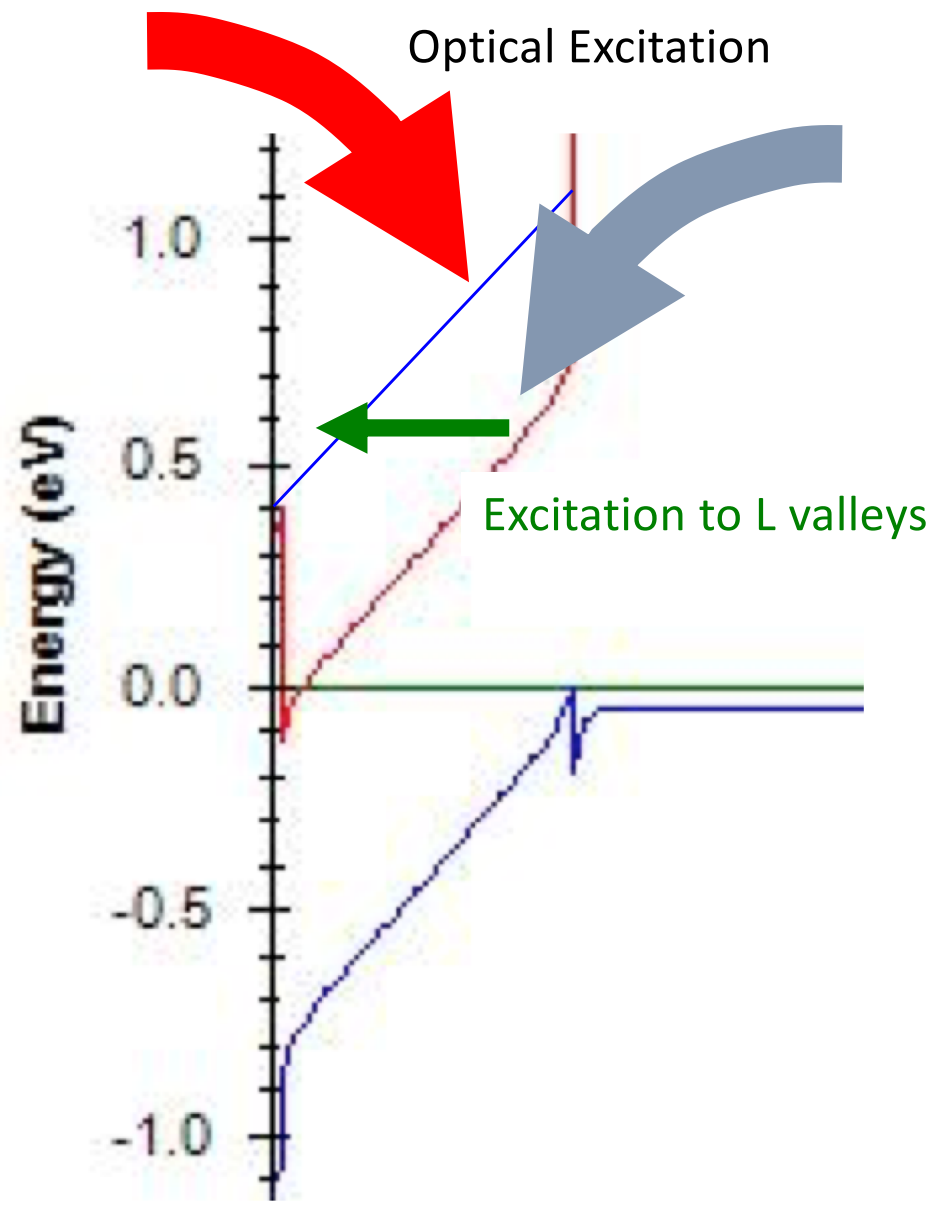
Ferry, Semicon. Sci. Technol. **34**, 044001 (2019)

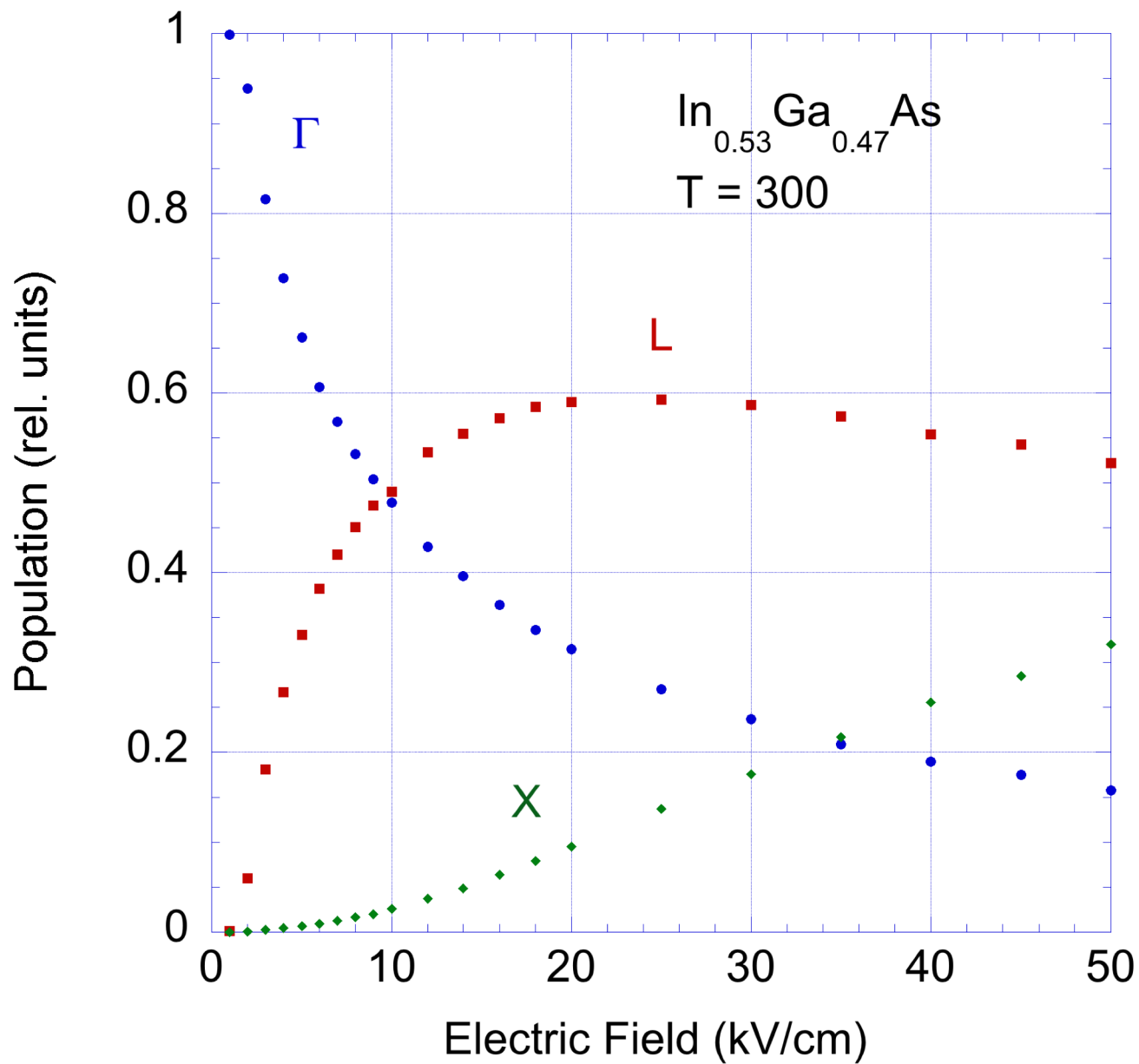




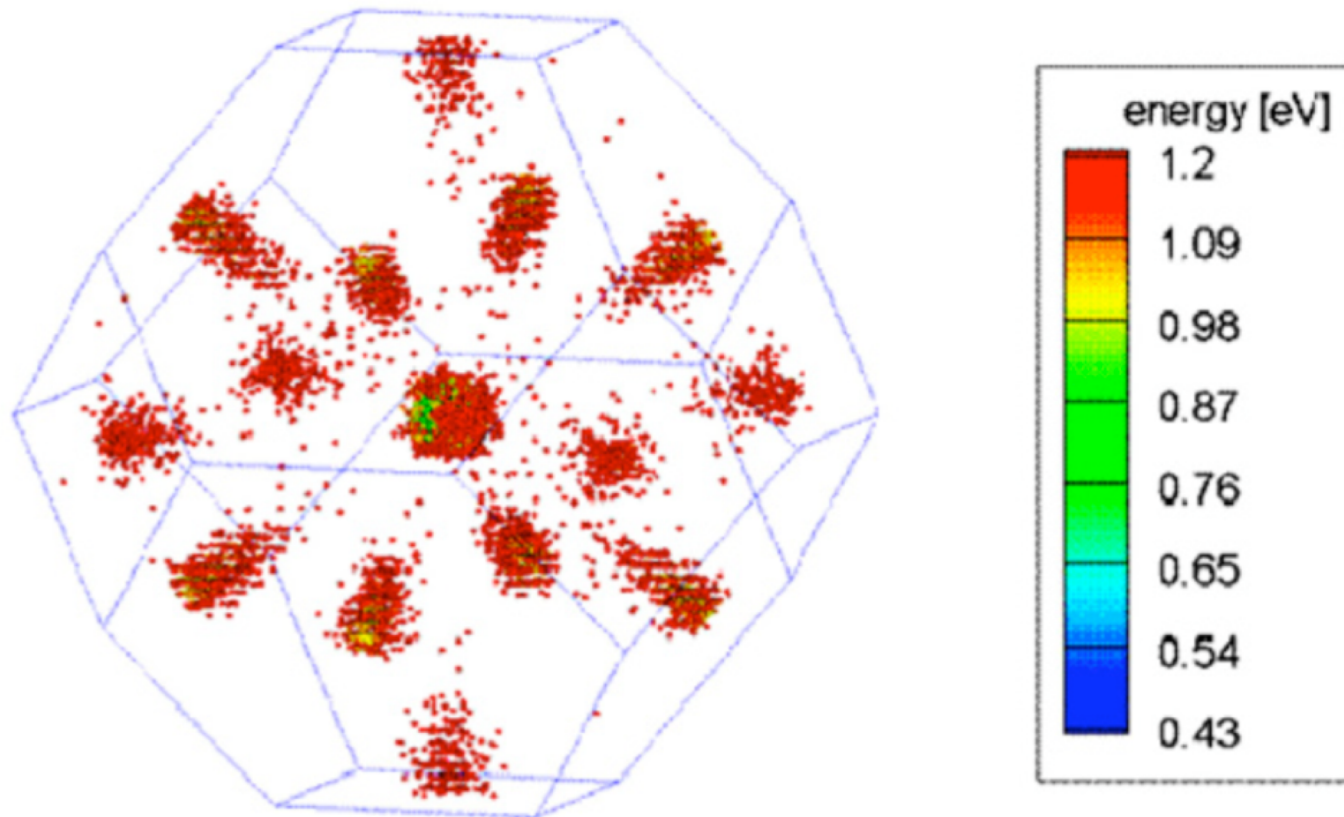
Ferry, Semicon. Sci. Technol. **34**, 044001 (2019)



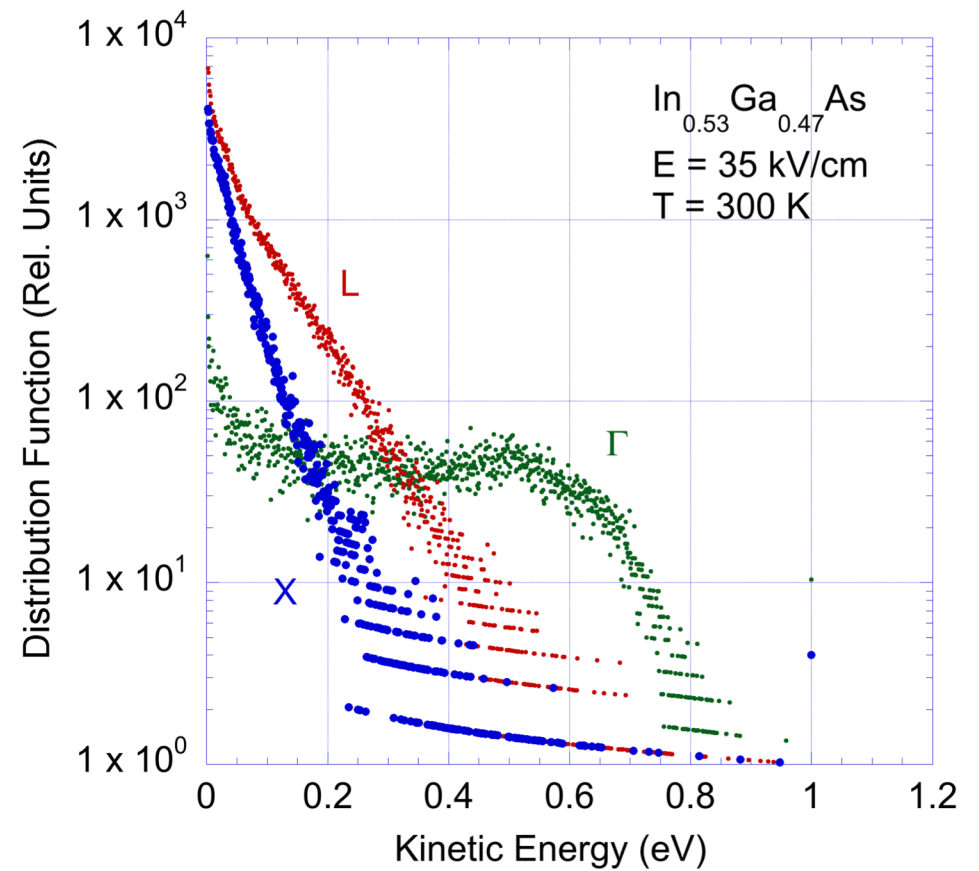
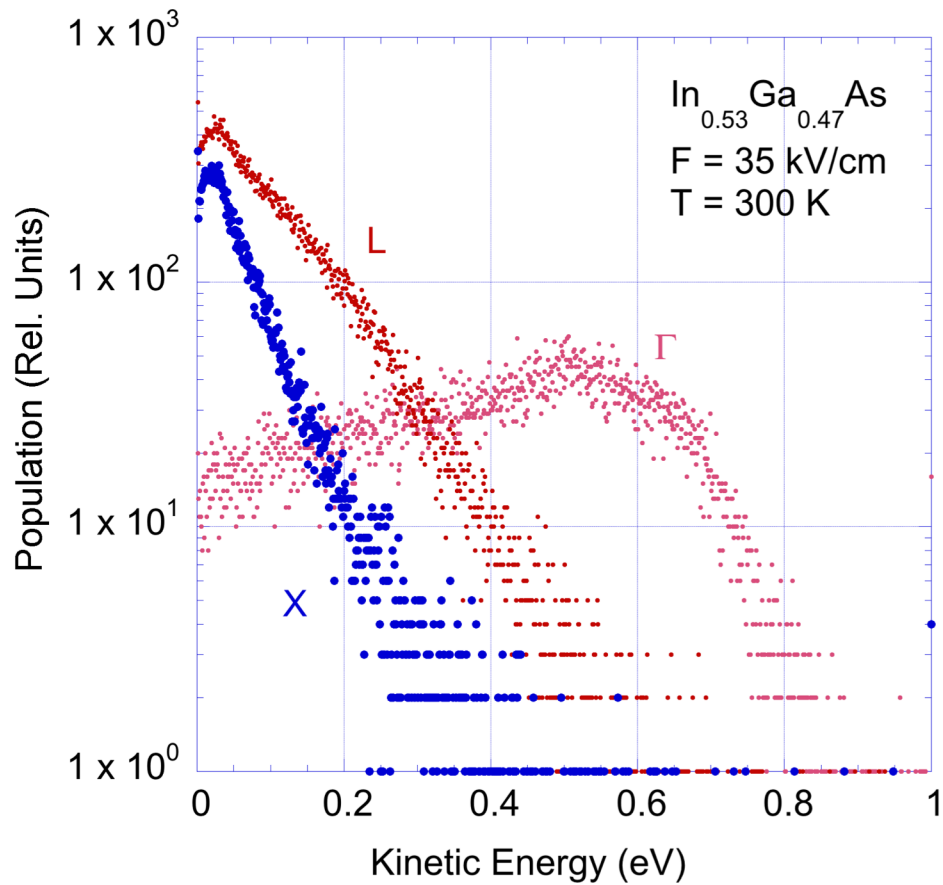


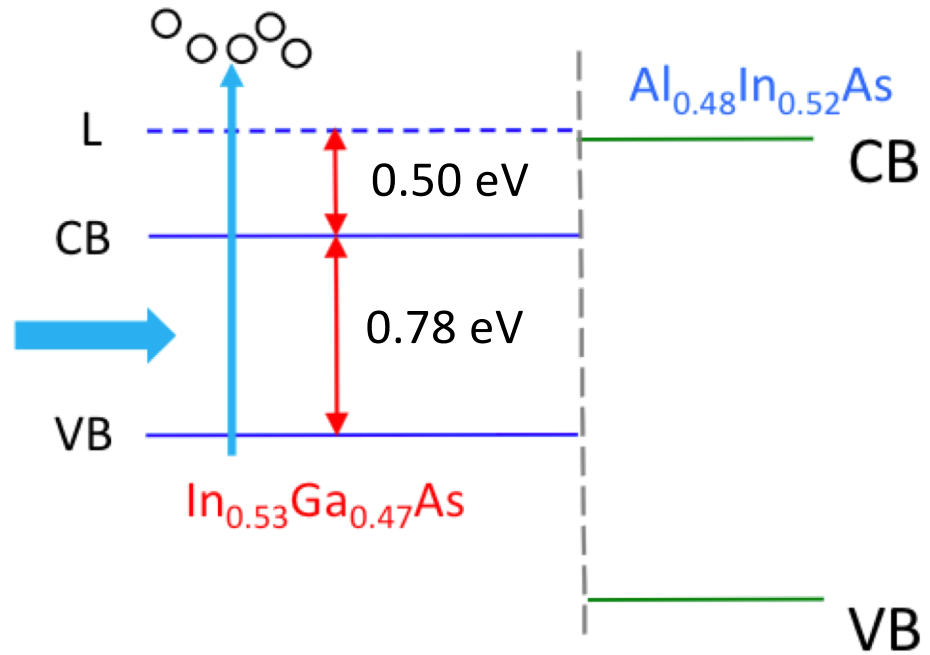
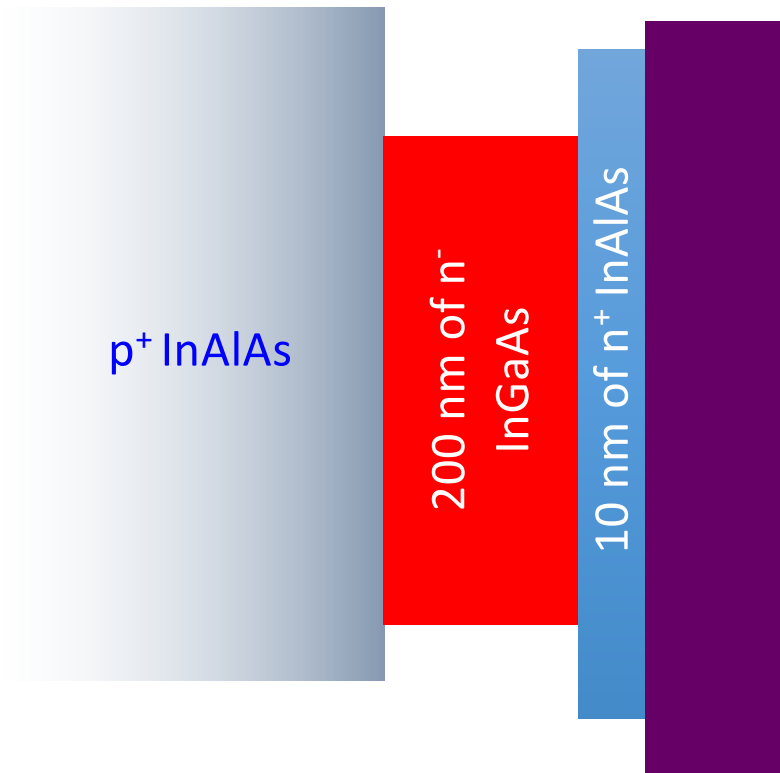


Ferry, Semicon. Sci. Technol. **34**, 044001 (2019)

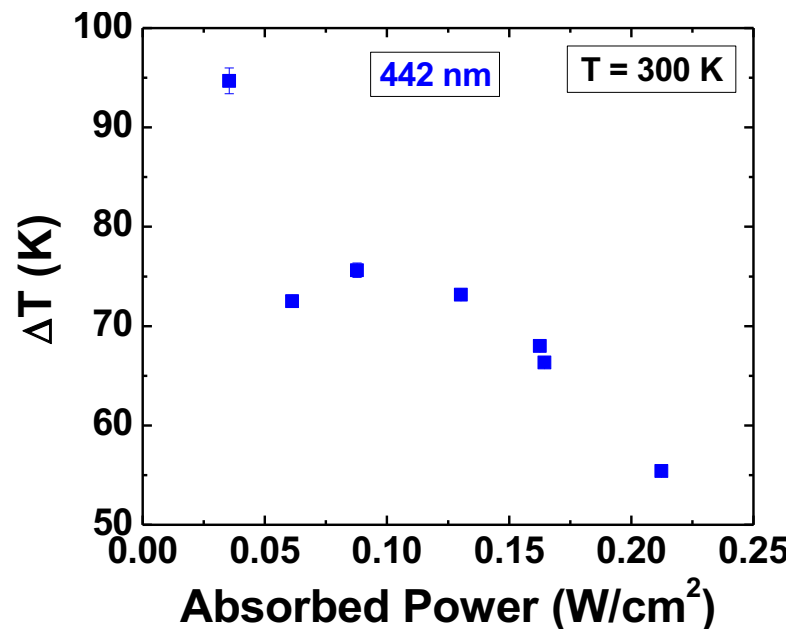
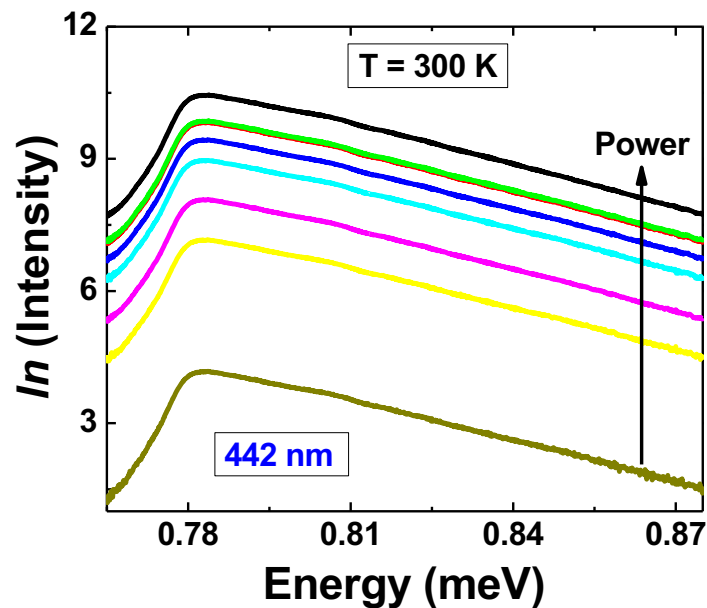
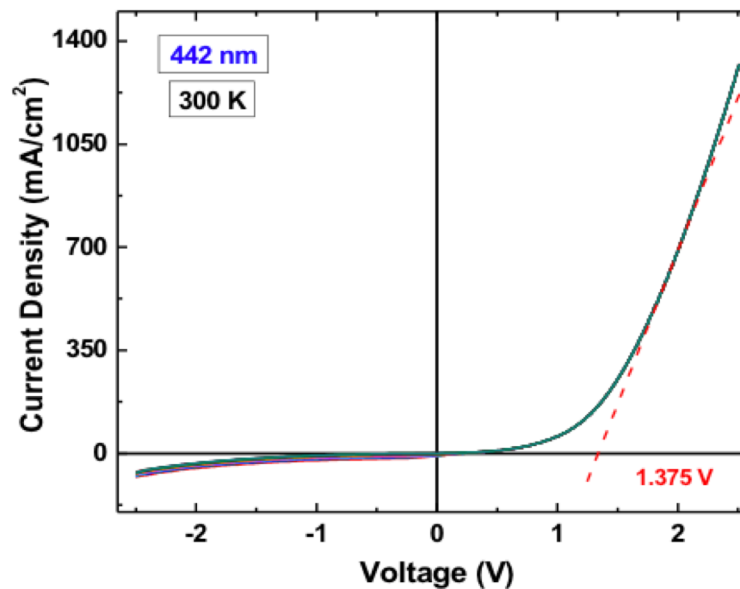
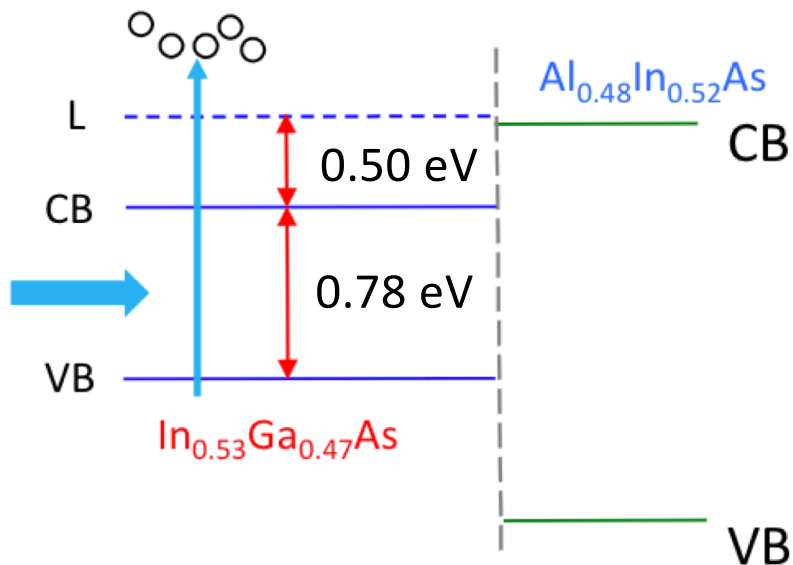


Akis *et al.*, J. Phys. Cond. Matter **20**, 384201 (2008)



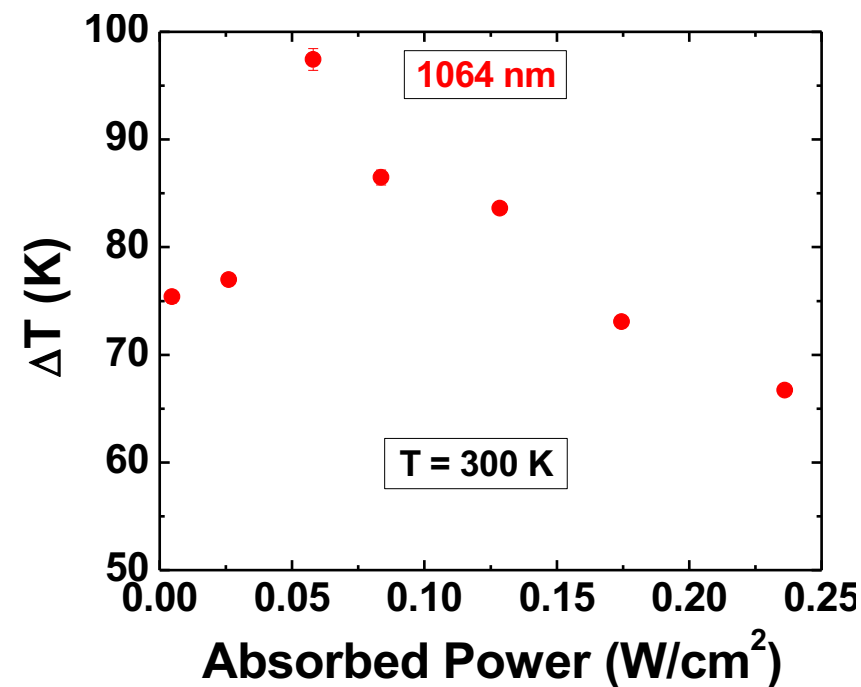
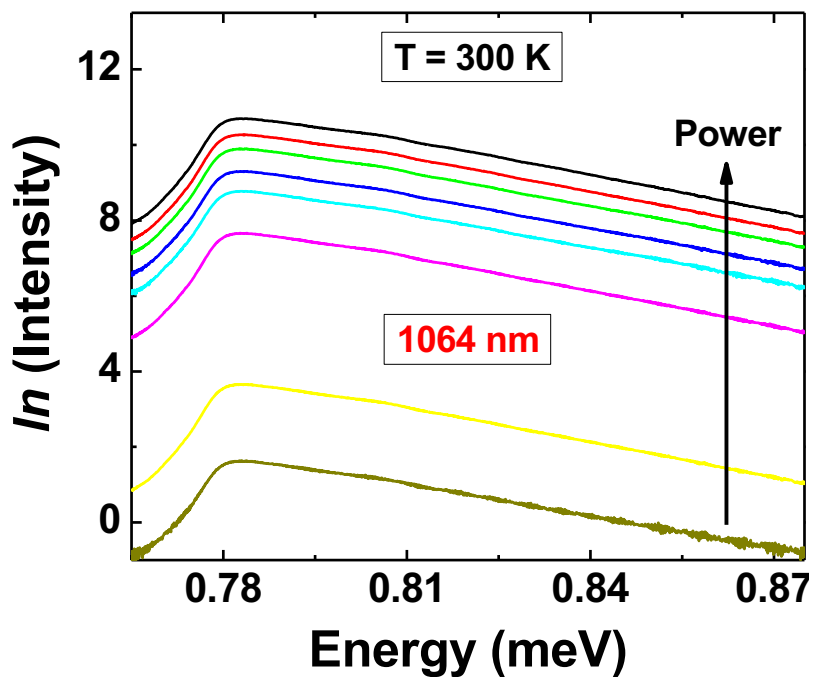
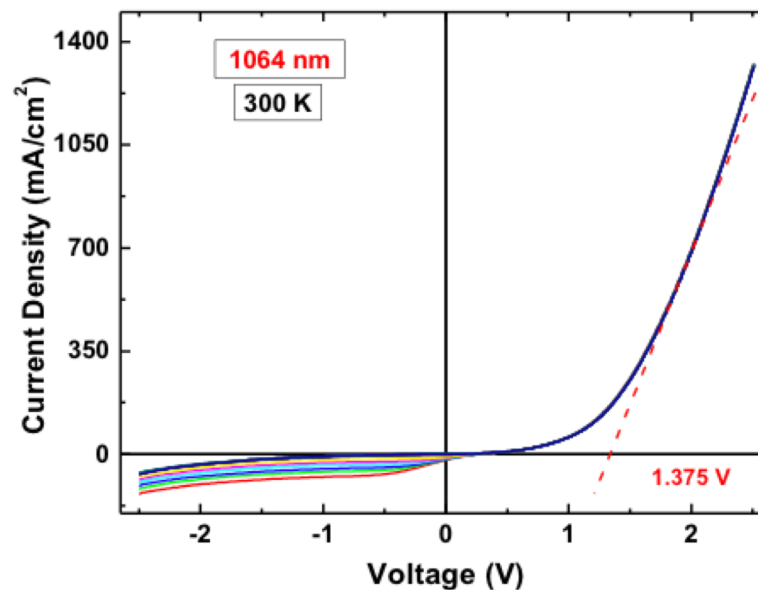
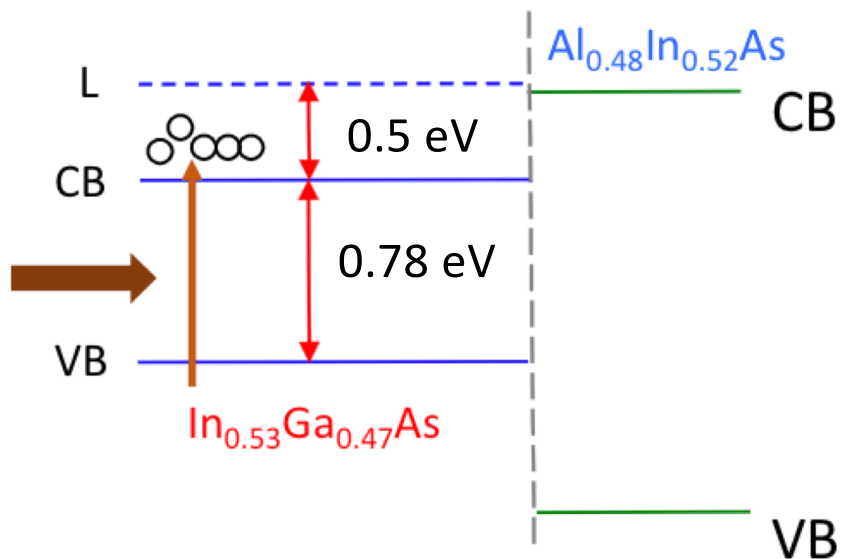


To study where the photocarriers are going, we probed with different light sources:  
 Esmailpour *et al.*, Nature Energy 5, 336 (2020)



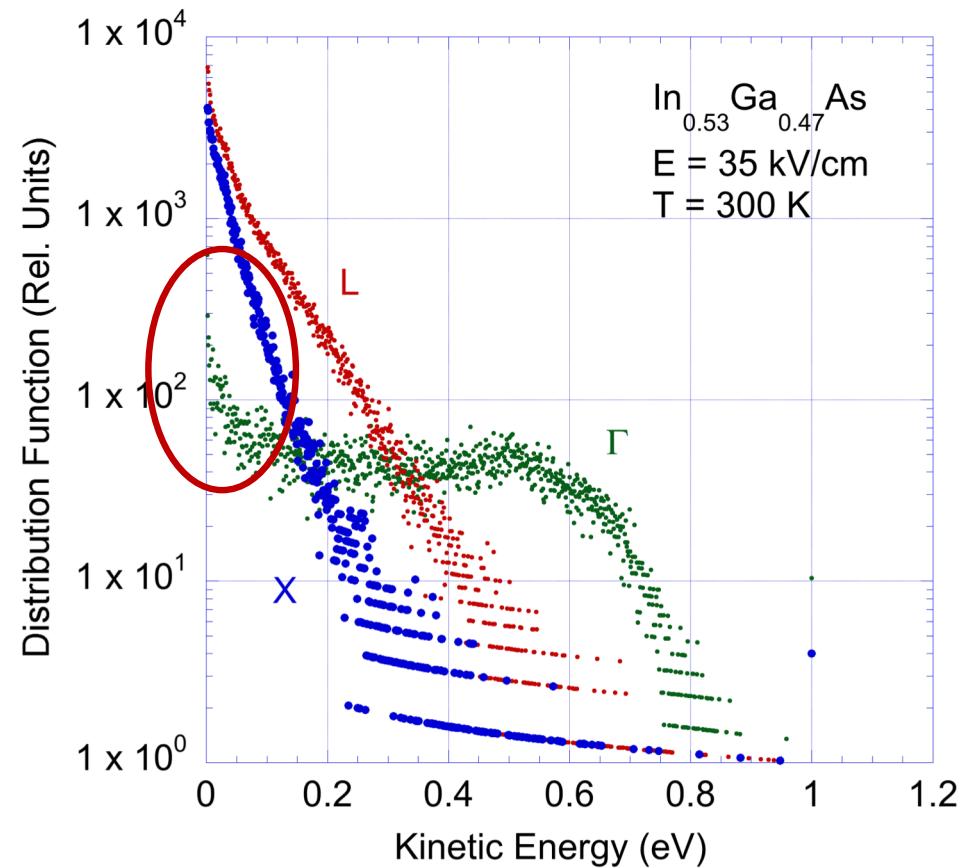


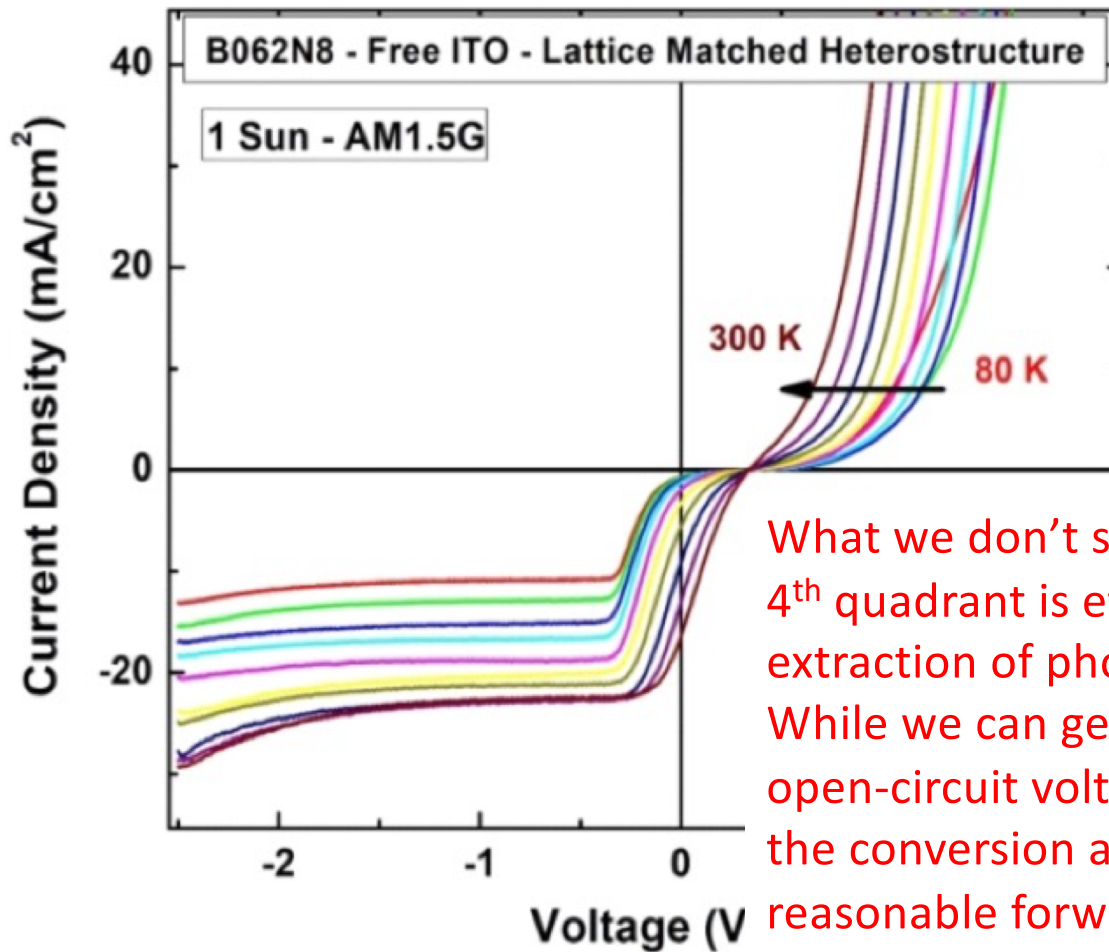
To study where the photocarriers are going, we probed with different light sources:  
 Esmailpour *et al.*, Nature Energy **5**, 336 (2020)



The excess temperature at low energies in the  $\Gamma$  valley (under solar illumination) shows only about  $75 \pm 25$  K, comparable to the PL signals.

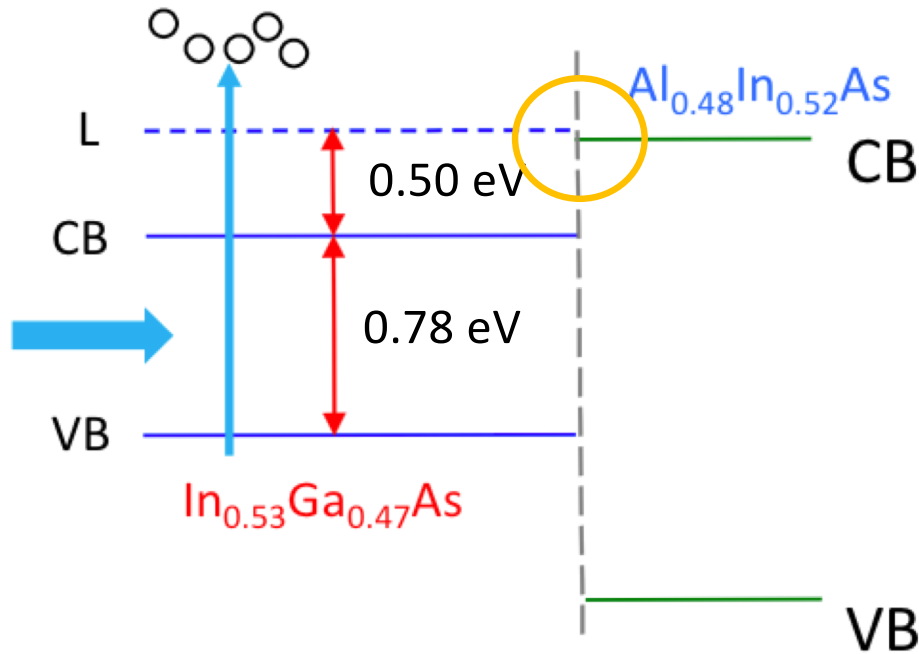
PL is coming from  $\Gamma$  valley, but the open-circuit voltage is set by the L valleys!





What we don't see in this 4<sup>th</sup> quadrant is efficient extraction of photo-current. While we can get a nice open-circuit voltage, we lose the conversion at any reasonable forward voltage.

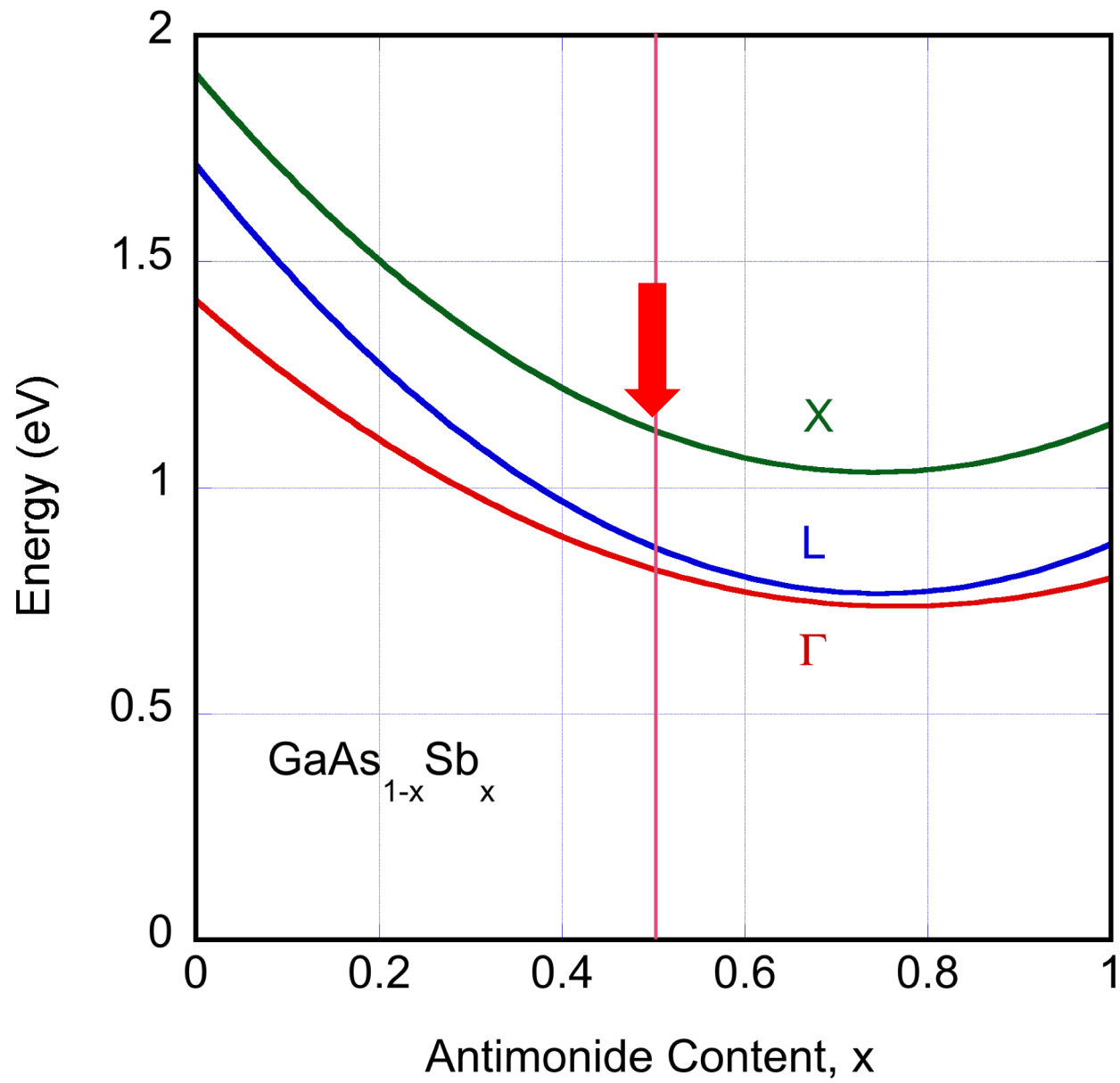
Esmailpour *et al.*, Nature Energy 5, 336 (2020)

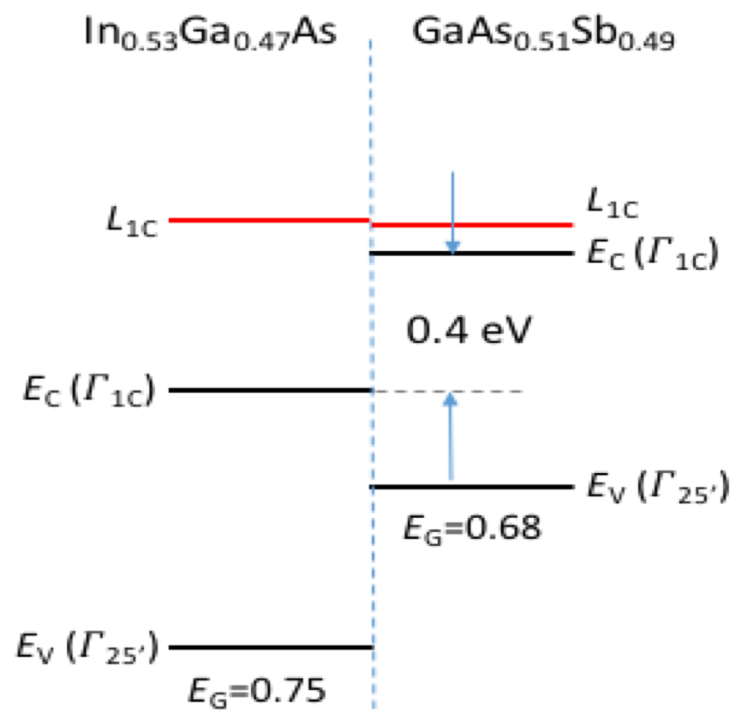


In order to cross this interface, the carriers in the L valleys have to emit/absorb an L phonon to reach the  $\Gamma$  valley of the InAlAs. The L valleys of the latter lie at much higher energy, and the carriers come out either by tunneling or by involving the phonon—*Not good!!*

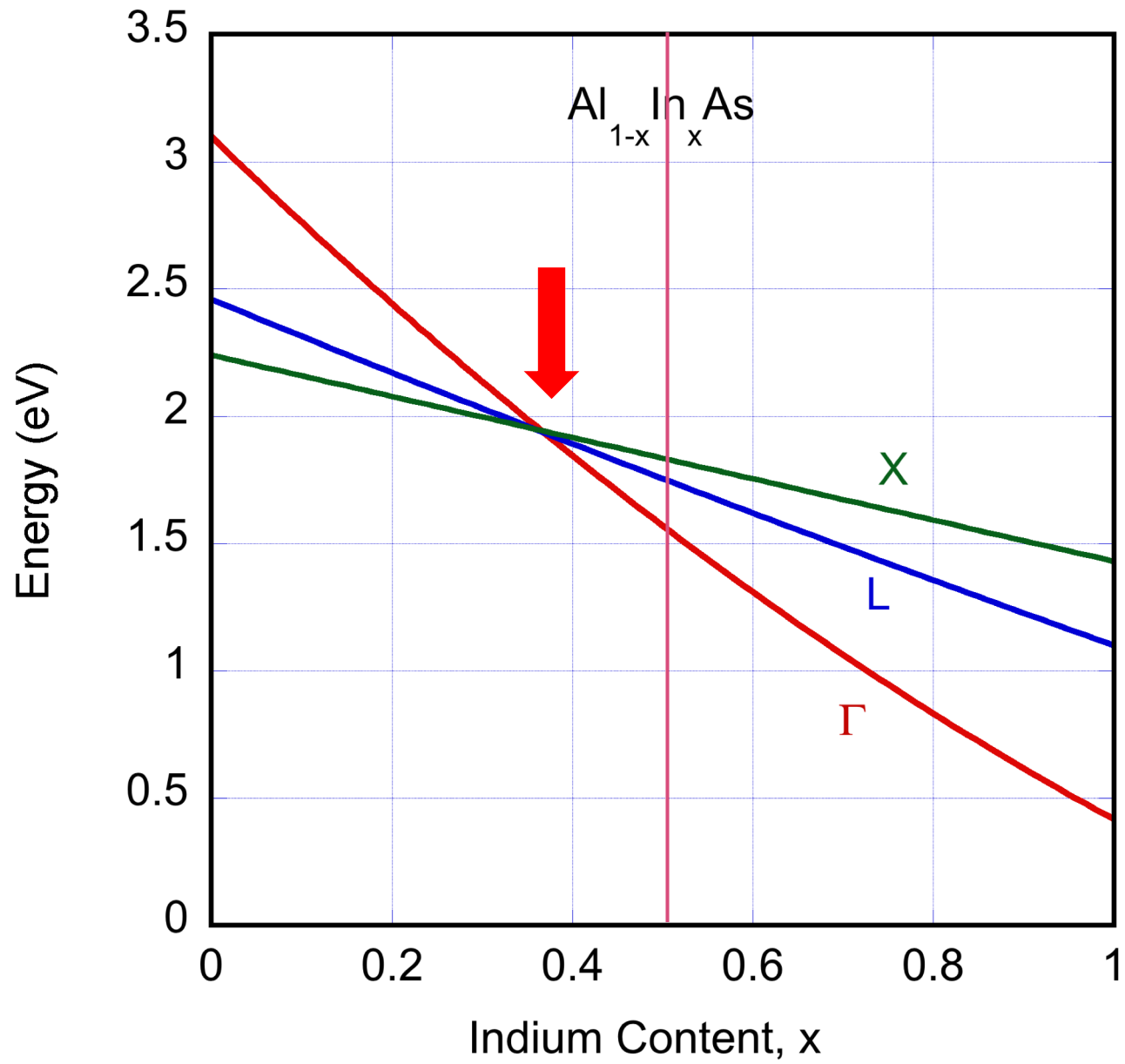
*We need a better extraction layer.*

# Possible Solutions



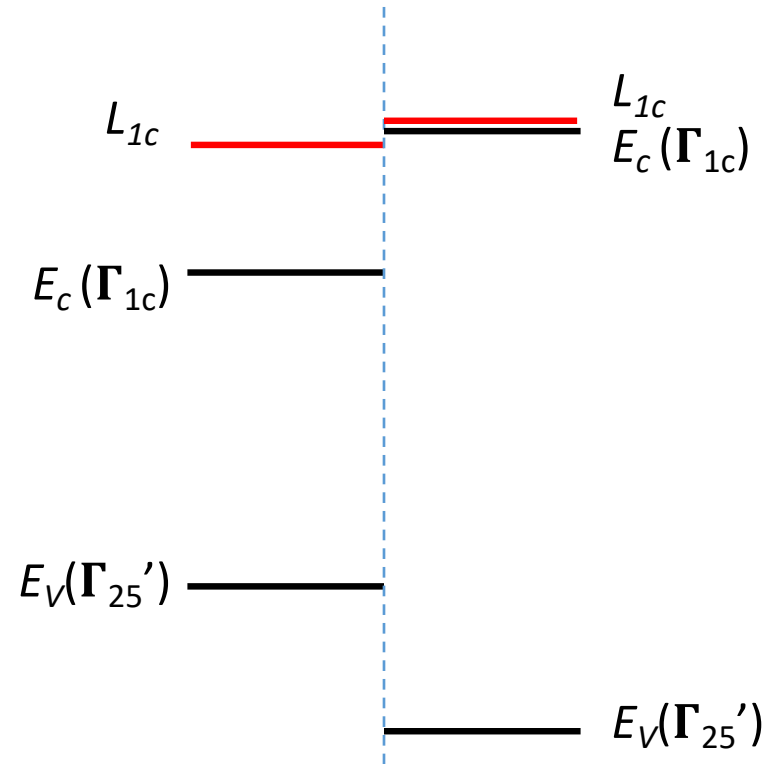


# Possible Solutions



Ga<sub>0.53</sub>In<sub>0.47</sub>As

Al<sub>0.65</sub>In<sub>0.35</sub>As







So, the ideas have been supported by some experimental data in cells using an InGaAs absorber layer and a lattice matched AlInAs extraction layer.

We are seeing an open circuit voltage well above the band gap, which suggests the upper valley metastable level is working.



**But, we have an extraction barrier,  
which gives vanishingly small  
efficiencies in the cell.**

**We obviously need to do some  
materials research to find a better  
extraction layer.**

**Nevertheless, we are agonizingly  
close ....**



**Thanks for your attention!**